

The use of Quality-Adjusted Life Years in cost-effectiveness analyses in palliative care: Mapping the debate through an integrative review

Palliative Medicine

1–17

© The Author(s) 2017



Reprints and permissions:

sagepub.co.uk/journalsPermissions.nav

DOI: 10.1177/0269216316689652

journals.sagepub.com/home/pmj



Anne B Wichmann¹, Eddy MM Adang², Peep FM Stalmeier²,
Sinta Kristanti¹, Lieve Van den Block³, Myrra JFJ Vernooij-Dassen¹
and Yvonne Engels⁴; On behalf of PACE[†]

Abstract

Background: In cost-effectiveness analyses in healthcare, Quality-Adjusted Life Years are often used as outcome measure of effectiveness. However, there is an ongoing debate concerning the appropriateness of its use for decision-making in palliative care.

Aim: To systematically map pros and cons of using the Quality-Adjusted Life Year to inform decisions on resource allocation among palliative care interventions, as brought forward in the debate, and to discuss the Quality-Adjusted Life Year's value for palliative care.

Design: The integrative review method of Whittemore and Knafl was followed. Theoretical arguments and empirical findings were mapped.

Data sources: A literature search was conducted in PubMed, EMBASE, and CINAHL, in which MeSH (Medical Subject Headings) terms were *Palliative Care*, *Cost-Benefit Analysis*, *Quality of Life*, and *Quality-Adjusted Life Years*.

Findings: Three themes regarding the pros and cons were identified: (1) *restrictions in life years gained*, (2) *conceptualization of quality of life and its measurement*, including suggestions to adapt this, and (3) *valuation and additivity of time*, referring to changing valuation of time. The debate is recognized in empirical studies, but alternatives not yet applied.

Conclusion: The Quality-Adjusted Life Year might be more valuable for palliative care if specific issues are taken into account. Despite *restrictions in life years gained*, Quality-Adjusted Life Years can be achieved in palliative care. However, in *measuring quality of life*, we recommend to—in addition to the EQ-5D—make use of quality of life or capability instruments specifically for palliative care. Also, we suggest exploring the possibility of integrating *valuation of time* in a non-linear way in the Quality-Adjusted Life Year.

Keywords

Quality-Adjusted Life Year, debate, cost-effectiveness analysis, palliative care

What is already known about the topic?

- Medical (technological) progress and resulting competing alternatives increasingly raise the question “*must everything that can be done, be done?*” The Quality-Adjusted Life Year (QALY) is widely used as outcome measure for cost-effectiveness analyses in healthcare. However, there is an ongoing debate concerning the appropriateness of its use to inform decisions on resource allocation among palliative care interventions.

¹IQ healthcare, Radboud Institute for Health Sciences, Radboud University Medical Center, Nijmegen, The Netherlands

²Department for Health Evidence, Radboud University Medical Center, Nijmegen, The Netherlands

³End-of-life Care Research Group, Vrije Universiteit Brussel (VUB) and Ghent University, Brussels, Belgium

⁴Department of Anesthesiology, Pain and Palliative Care, Radboud University Medical Center, Nijmegen, The Netherlands

[†]PACE: Palliative Care in Care Homes Across Europe. An EU-funded international research project

Corresponding author:

Anne B Wichmann, IQ healthcare, Radboud Institute for Health Sciences, Radboud University Medical Center, P.O. Box 9101, 6500 HB Nijmegen, The Netherlands.

Email: Anne.Wichmann@radboudumc.nl

What this paper adds?

- This paper offers the first systematic overview of pros and cons of using QALYs to inform decisions on resource allocation among palliative care interventions. It provides a critical appraisal of the arguments and discusses the QALYs' value for palliative care. Furthermore, it explores whether difficulties are experienced in research practice and how they are dealt with, for example, are alternative approaches or outcome measures used?

Implications for practice, theory, or policy

- Our review concludes that, despite criticisms, the QALY might be of value in informing decisions on resource allocation among palliative care interventions if specific issues are taken into account. Since standard quality-of-life measurement instruments (such as the EQ-5D) lack dimensions that are essential to palliative care, we recommend to add quality-of-life or capability instruments for economic evaluations in palliative care. Also, we suggest exploring the possibility of integrating valuation of time in a non-linear way in the QALY framework. However, to appropriately allocate scarce resources across healthcare, a common metric is needed. Therefore, the issues suggested should not remain restricted to palliative care, but be considered in the QALY conceptualization throughout healthcare.

Background

Patients are entitled to receive timely, acceptable, and affordable care of appropriate quality.¹ Due to new (expensive) drugs and treatments, and the fact that people live longer, the duration of intensive and costly care has increased. This puts pressure on the collective affordability of our healthcare. The question “*must everything that can be done, be done?*” is being asked more frequently, particularly in end-of-life care (EoLC). Palliative care also competes for limited healthcare resources. Since the number of patients in advanced stages of incurable conditions is increasing,^{2,3} expenditures in this field are likely to increasingly represent a bigger share of total spending.

Because of this, economic evaluations used when allocating resources are becoming increasingly important.⁴ Insight into the effectiveness, its costs, and their incremental ratio (incremental cost-effectiveness) is important when allocating resources. It is frequently argued that the evaluation of palliative care interventions should also include cost-effectiveness.^{5–8} The Quality-Adjusted Life Year (QALY) is the predominant outcome measure for cost-effectiveness analyses (CEAs) in healthcare, and its use is recommended by both the National Institute for Health and Clinical Excellence (NICE) and the Dutch guideline for economic evaluation in healthcare.^{9–11} However, in the (scientific) palliative care community, a debate concerning the appropriateness of the QALY's use as part of the efficiency decision rule in palliative care is taking place.^{6,7,12–14}

The QALY takes into account two factors: the quality (of life; “Q”) and the quantity (life years gained; “LY”) generated by healthcare interventions. In the QALY, the length of time spent in a certain health state is weighed by the utility score given to that health state.¹⁵ For instance, 1 year of perfect health is worth one QALY, a year of less than perfect health is worth less than one QALY, and death is considered to be equivalent to zero QALYs. Some health states may be considered worse than death and

have negative scores.¹⁵ By integrating Q and LY, the QALY provides a common metric to measure the added values from a variety of interventions, making it useful for budget allocation. In principle, deciding to allocate resources toward a specific intervention depends on the value for money question in terms of societal willingness to pay for a QALY gained.

This general application of the QALY, however, also contains a major objection.¹⁶ Some think that the nature of palliative care makes it more difficult to provide evidence on efficiency, which puts palliative care in a disadvantaged position when competing for resources with other healthcare services that have better evidence.^{17–19} It is argued that other approaches, such as the capability approach, in which capabilities are considered rather than functioning,¹⁴ might provide a richer evaluative space. The aim of this review is to systematically map pros and cons of using the QALY to inform decisions on resource allocation among palliative care interventions as brought forward in the debate and to discuss the QALY's value for palliative care.

Methods**Rationale**

In order to unfold the coherent body of knowledge, insights generated from separate studies were integrated using Whittemore and Knafli's²⁰ methodology for integrative reviews. Both non-empirical (theoretical) and empirical (CEAs) literature was searched. Theoretical literature was analyzed from bottom-up to find and compare arguments regarding the appropriateness of using the QALY to inform decisions on resource allocation among palliative care interventions. All the pro- and con arguments were presented in their original form regardless of their strength. In

Table 1. Electronic databases for search strategies.

PubMed	EMBASE	CINAHL
(((((((Quality Adjusted Life Year[tiab] OR Quality Adjusted Life Years[tiab] OR QALY[tiab] OR QALYs[tiab]))) OR "Quality-Adjusted Life Years"[Mesh])) OR (((("Quality of Life"[Mesh]) OR quality of life[tiab]) OR life quality[tiab])) AND (((("Cost-Benefit Analysis"[Mesh]) OR ((Cost Benefit[tiab] OR Cost Effectiveness[tiab] OR Cost Utility[tiab] OR Costs and Benefits[tiab] OR Benefits and Costs[tiab]))) AND (((("Hospice Care"[Mesh]) OR "Terminal Care"[Mesh:noexp])) OR "Palliative Care"[Mesh]) OR ((Palliative[tiab] OR Terminal care[tiab] OR End of life care[tiab] OR EOLC[tiab] OR EOL care[tiab] OR hospice care[tiab] OR Hospice Programs[tiab] OR Hospice Program[tiab])))	(terminal care/or hospice care/ OR palliative therapy/OR (Palliative or Terminal care or End of life care or EOLC or EOL care or hospice care or Hospice Programs or Hospice Program). ti,ab.) AND ((Cost Benefit or Cost Effectiveness or Cost Utility or (Costs and Benefits) or (Benefits and Costs)).ti,ab. OR cost benefit analysis/or cost effectiveness analysis/) AND (exp "quality of life"/OR (quality of life or life quality).ti,ab OR (Quality Adjusted Life Year or Quality Adjusted Life Years or QALY or QALYs).ti,ab. OR quality adjusted life year/)	((MH "Hospice Care") OR (MH "Palliative Care") OR (MH "Terminal Care") OR (TI Palliative OR Terminal care OR End of life care OR EOLC OR EOL care OR hospice care OR Hospice Programs OR Hospice Program) OR (AB Palliative OR Terminal care OR End of life care OR EOLC OR EOL care OR hospice care OR Hospice Programs OR Hospice Program)) AND ((MH "Cost Benefit Analysis") OR (TI Cost Benefit OR Cost Effectiveness OR Cost Utility or (Costs and Benefits) or (Benefits and Costs)) OR (AB Cost Benefit OR Cost Effectiveness OR Cost Utility or (Costs and Benefits) or (Benefits and Costs)) AND ((MH "Quality of Life") OR (MH "Comfort") OR (TI quality of life OR life quality) OR (AB quality of life OR life quality) OR (MH "Quality- Adjusted Life Years") OR (TI Quality Adjusted Life Year or Quality Adjusted Life Years or QALY or QALYs) OR (AB Quality Adjusted Life Year or Quality Adjusted Life Years or QALY or QALYs))

the discussion, the various arguments were critically appraised and the value of QALYs for palliative care was discussed. Analysis of the CEAs focused on identifying whether the perceived difficulties are described in research practice.

Literature search and data extraction

A literature search was conducted in the electronic databases PubMed, EMBASE, and CINAHL (Table 1). MeSH (Medical Subject Headings) terms in the search strategy were *Palliative Care*, *Cost-Benefit Analysis*, *Quality of Life*, and *Quality-Adjusted Life Years*. The search was limited to English-language articles published between 2000 and May 2015. In March 2016, a search update was done. Reference lists were scanned iteratively for supplementary publications.

In assessing the records identified by the database search, the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flowchart was used (Figure 1).²¹ After identification of the records and the removal of duplications, titles and abstracts were screened for their relevance. If the article did not concern our research question, for example, when the title or abstract not indicated the study concerned cost-effectiveness/utility analysis within the palliative care field, it was excluded. Then, full-text articles were read to evaluate their eligibility. Inclusion and exclusion (Tables 2 and 3) in both the screening and the eligibility rounds were independently done by two researchers (A.B.W. and S.K.). Since the emphasis was on finding various pros and cons of using the QALY in palliative care, an inclusive sampling approach was used. That is, all titles that seemed to be of

interest were included. Primary sources were not assessed on their individual quality.

Data analysis

In order to provide a comprehensive understanding of pros and cons of using QALYs to inform decisions on resource allocation among palliative care interventions, the storyline of the coherent body of knowledge was unfolded. Heterogeneous literature (Tables 4 and 5 in Appendix 1) was explored and analyzed. The research strategy for integrative reviews was used for data analysis.²⁰ Two subgroups consisting of theoretical and empirical literature were analyzed separately (see the following subsections). Subsequently, the theoretical and empirical subgroups were integrated.²³

Theoretical literature. Pros and cons of using the QALY in palliative care, as well as alternative outcome measures and approaches, were inferred from the theoretical literature. All arguments from primary sources were coded, ordered, and clustered to identify patterns that could be translated into themes (Table 6 in Appendix 1). To meaningfully analyze the arguments, it was done in chronological order.

Empirical literature. Empirical CEAs were studied to find out whether perceived difficulties are experienced in research practice in the theoretical literature and how they are being dealt with, for example, are alternative approaches or outcome measures used? A data extraction form was used to systematize all findings. The empirical studies were ordered alphabetically, by author name, and on methodological characteristics (Table 5 in Appendix 1).

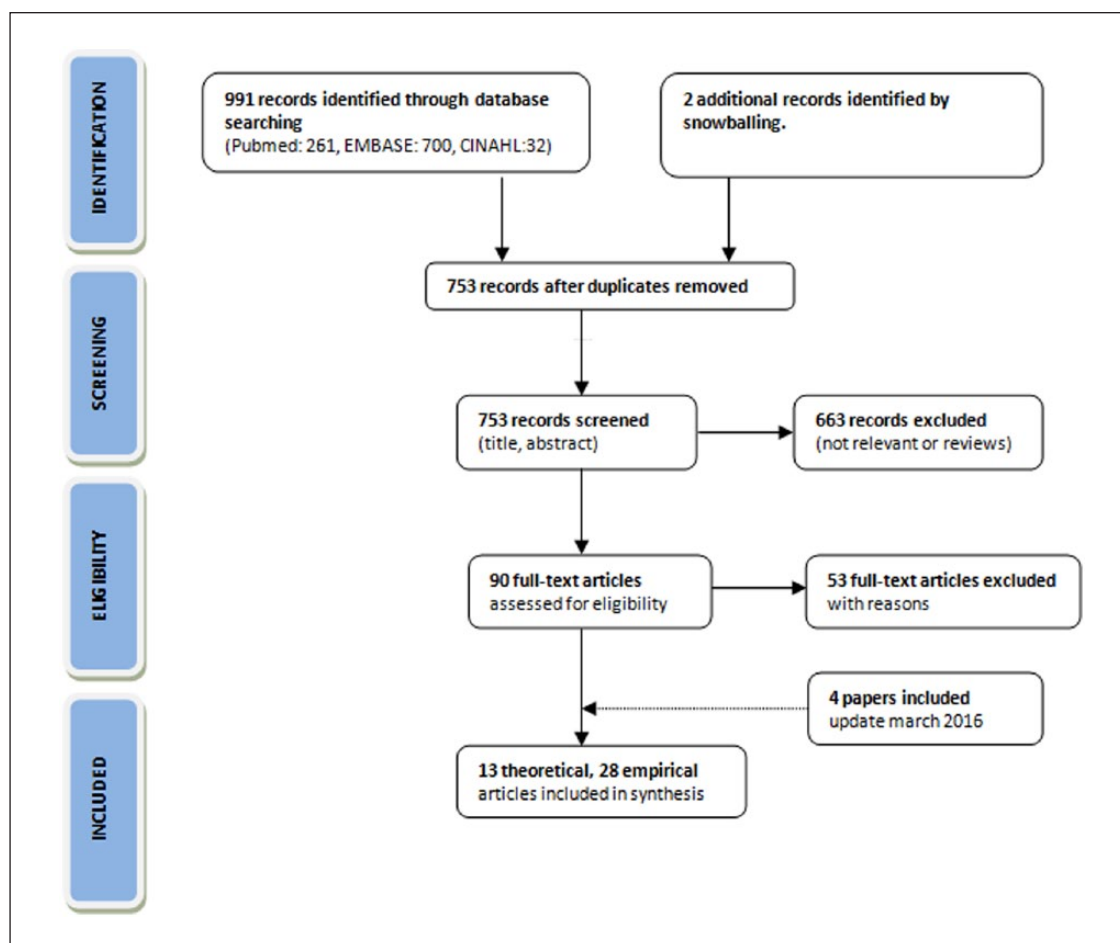


Figure 1. Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram.²²

Table 2. Inclusion and exclusion criteria of theoretical papers.

Inclusion	Exclusion
Years 2000–2016	Reviews
Non-empirical papers (articles, editorial letters, etc.)	Non-English language studies
Studies about pros or cons of using QALYs in palliative care	
Studies about quantifying quality of EoLC	

QALY: Quality-Adjusted Life Year; EoLC: end-of-life care.

Findings

Of the 993 publications initially identified through database searching and snowballing, 753 studies of potential interest were left after removal of duplications (Figure 1). A total of 13 theoretical and 30 empirical CEAs were included. The theoretical literature encompassed clinical, economic, policy/management, and philosophical studies. Tables 4 and 5 in Appendix 1 show the characteristics of the included studies.

Interpretation and integration

After ordering all arguments regarding the use of the QALY to inform decisions on resource allocation among palliative care interventions—as they were originally brought forward in the literature—three themes were identified from bottom-up (Table 6 in Appendix 1). These themes concern groups of arguments about the “life years gained,” “conceptualization of quality of life (QoL) and its measurement,” and the “valuation and additivity of time” elements of the QALY. Some of these arguments could also be found in the empirical literature (Table 7 in Appendix 1), and some were supported by alternative outcome measures or approaches such as the “PaLY” and the “Peak End Rule.” In the discussion, we critically appraise the main arguments and the value of QALYs for palliative care.

Theme 1. Life years gained

Low life expectancy is considered to be a problem (con). Some authors view the LY component of the QALY as problematic. It is argued that the main objective of palliative care is to improve QoL and enable people to opt for a dignified

Table 3. Inclusion and exclusion criteria of empirical (CE) papers.

Inclusion	Exclusion
Years 2000–2016	Reviews
Journal articles	Non-English language studies
Cost-effectiveness/utility studies	Conference abstracts
Studies in advanced, mortally ill patients (EOL period during which a person's condition is actively deteriorating and when death is expected)	Study protocols
Studies written from palliative care paradigm	BSC studies
	Studies in non-human or children
	Broader palliative care studies
	Broader health economic studies (without CE analyses)
	Chronic/allergic/non-EOL diseases
	First-line/primary treatments
	Critical/intensive care studies
	Studies about predictive testing/prevention/screening
	Studies on developing/evaluating new interventions

CE: cost-effectiveness; EOL: end of life; BSC: best supportive care.

death¹⁴ and not (necessarily) prolonging survival.^{5,14,18} Egan,⁵ for example, argues that the QALY has the implicit and flawed assumption that interventions should prolong survival to be valuable. He states that since patients eligible for palliative care have a relatively low life expectancy, any life-saving therapy will result in potentially higher QALY gains.⁵ A consequence of this assumption, it is posed, is that even when costs are quite modest, palliative care interventions cannot prove themselves to be cost-effective, as there is no enough time for them to generate QALYs.^{5,12} This difficulty is also encountered in empirical studies.^{24,25,26} For instance, Stevenson et al.²⁴ state that “*any survival advantage has a marked effect on the cost-effectiveness, which reflects the frequent issue that it can be more cost-effective to let patients die rather than to use relatively costly treatments.*”

Gains in QALYs are possible even if life expectancy is low (pro). Hughes⁶ contends against this argument by citing Keynes’ “*in the long run we are all dead.*” This fact, he argues, does not make QALY analysis inapplicable across the board. After all, our QoL matters while we are alive, and this is what the QALY seeks to capture, too.⁶ This view is supported by Round,⁷ who by means of an illustration shows that increases in QALYs are possible even if life cannot be lengthened. This is also backed by empirical studies that found that their results were most sensitive to changes in utilities,²⁷ and that palliative therapies began to gain very high QALY values with only modest decreases in QoL.²⁸ This implies that the Q weight significantly influences the QALY, and that survival advantage even seems to be undermined by declines in QoL. Moreover, Hughes⁶ poses the objective of improving QoL (or limit its potential loss, red.) rather than increasing life expectancy is true for other non-life prolonging interventions which can be measured in QALYs, such as hip operations. In

other words, gains in QALYs are possible even if one of its components does not change significantly, since improvements can be made in the other component.⁷

Theme 2. Conceptualization of QoL and its measurement

Health-related domains are less relevant in palliative care (con). Other arguments concern the Q weight of the QALY. One of the main arguments is about its conceptualization and measurement. The instrument predominantly used to measure the Q weight is the EuroQol instrument (EQ-5D). However, the health-related QoL (HR-QoL) dimensions—pain/discomfort, anxiety/depression, mobility, self care, and usual activities—that are covered by this instrument are often seen as less relevant in the context of palliative care, in which values such as patient dignity, spiritual and psychosocial well-being, and bereavement support are central. These rather broad, multidimensional, complex, and holistic intentions of palliative care are said to be lacking in HR-QoL measurement instruments such as the EQ-5D.^{12,29} In other words, the authors argue that the EQ-5D is mainly concerned with health and the recovery of health, and not with the quality of end of life (EoL) or dying.

Do not dismiss the framework: develop valid measurement instruments (pro). Yang and Mahon,³⁰ however, argue that palliative care and the QALY are compatible. They state that “*like QALY and cost-utility calculations, palliative care involves a benefit-burden analysis for optimal treatment recommendations.*” However, they argue that palliative care must be optimally integrated in QALY calculations.⁸ Other authors are also convinced that, despite current difficulties, the champagne should not be thrown out with the cork. Improper measurement (as of

yet) of the Q weight of the QALY should not result in a relinquishment of the whole outcome measure.^{6,7,31} Hughes⁶ argues, what should be done is develop the best ways for estimating QoL. According to Chochinov,²⁹ in palliative care, this means measurement should embrace a perspective as broad as the notion of QoL itself. With further refinements of analytical instruments, the conviction is that palliative care can be optimally integrated in the QALY calculation.³⁰ Coast,¹⁴ with the capability approach, argues for a richer evaluative space when measuring QoL.

... *this flexibility is offered in the extra-welfarist framework (pro)*. Round⁷ agrees that instruments could be developed that take account of the domains of relevance to a certain population. This flexibility of preference-based measurement is said to be offered within the extra-welfarism framework. In this (conceptual) approach, non-health domains next to or instead of health-related domains, or capabilities instead of functioning,¹⁴ can or should preferably be considered when estimating QALYs. It is argued that accepting some degree of heterogeneity in QoL measurement may be less detrimental than squeezing all evaluation activity into standard instruments.¹⁸ This flexibility, however, is said to be rarely applied in research practice,⁷ and “*the fact that researchers have not taken advantage of this flexibility is not a criticism of the framework itself.*”⁷

An extra-welfarist capability approach (con). Coast and colleagues,^{14,32} inspired by the work of Amartya Sen,^{33–35} make the case for the capability approach. In this approach, interventions are not based solely on *functioning* but are assessed based on their impact on what a person is *able* to do or be—in terms of capabilities that allow a person to have a good EoL.¹⁴ Although there is disagreement on the scope to which these capabilities can differ,^{36,37} Coast¹⁴ advocates for different sets of capabilities in different contexts.

The Palliative Care Yardstick as alternative approach (con). An alternative approach also using this flexibility is suggested by Normands’¹² Palliative Care Yardstick (PaLY). By adding items to the QALY, the PaLY would incorporate dimensions of palliative care (i.e. caring externalities) that are not considered when calculating QALYs.¹² This approach, however, has not yet been studied in practice.

(Availability of) instruments not known (con). In the empirical literature, mostly (standard) HR-QoL measurement instruments—such as the EQ-5D, EORTC QLQ-LC13/30, and SF-36—were used (Table 5 in Appendix 1). In one of these CEAs, it is noted that standard HR-QoL instruments were used that do not include QoL domains specifically relevant for the valuation of EoLC, due to the assumption that “*unfortunately, no valuation instrument exists that incorporates such issues.*”³⁸ In the empirical literature, some authors look for intermediate solutions for this problem by taking into account aspects of HR-QoL that have the largest

impact on general QoL in palliative patients. Barton et al.³⁹ for example, use response to pain treatment in their utility calculations, since “*chronic pain has an enormous effect on QoL of patients with bone metastases.*” Pace et al.⁴⁰ measure rehospitalization, as this is correlated with a lack of symptom control, worsening patient QoL.

Linear continuum and the narrative approach (con). Another main argument against the use of the QALY in palliative care is its assumption of a mathematical linear continuum between death (0) and excellent health (1).^{5,12} Authors specifically have a problem with the fixed valuing of zero for death, due to which the benefits of a good or desired death currently cannot be captured.^{12,41} Normand¹² argues that the non-linearity could be “accepted” by putting a value on components of a good death, and that this non-linear valuing would be separate from the days that led up to it (We will come back to the valuation question in theme 3.). The linear continuum assumption is challenged by the narrative approach.⁶ This theory describes that the manner in which a life ends impacts the overall value of that life. In this approach, the benefit of good EoLC is independent of any particular time-slice and thus cannot be captured by the QALY.⁶ Hughes⁶ and Cowley⁴² are in favor of this approach, as good terminal care adds greater meaning to the past life, and “*the increase in rediscovered meaningful years can be measured backwards rather than forwards.*” Cowley argues that this increase in rediscovered life years can even *constitute* new quality life years, since quality is retrospectively added to lived years.

Theme 3. Valuation and additivity of time

Valuation of time increases as time is running out (con). As briefly mentioned above, the QALY assumes that preferences on time are stable. Therefore, in the QALY methodology, it is common practice to weight each year of added life equally. That is, time for any individual at any point in time is treated as being constant, making it additive.¹⁸ By some, this feature of additivity is seen as problematic,^{5,12} since valuation of time might not be fixed.^{12,29,43} It would increase as time itself runs out.^{29,41} Chochinov²⁹ describes it as follows: “*Each moment becomes increasingly precious as death draws near, while for the rest of the world, the clock marks time at its usual pace, with its usual indifference.*”

Adding the Valuation Index Palliative to the PaLY (con). Normands’ previously introduced PaLY not only suggests adding items to the QALY, but also deals with the valuation problem. For example by allowing a value to be put on components of a “good death,” which is separate from the days that led up to it. Chochinov further explores the PaLY suggestion by adding the Valuation Index Palliative (VIP). In the VIP, the supposed increasing value of time as death gets closer is taken into account by ascribing a higher value to time in proximity to death.²⁹ Although these

concepts did not (yet) reach research practice, Furlan et al., in their empirical study, theorize about this idea:

... patients at the EoL tend to have low QALYs because of very poor health status [...] This raises the question of whether economic evaluations [...] ought to use some adjustment that would give additional weight to gains to health occurring at the EoL.

Billingham et al.⁴⁴ may have used the VIP. They state that their CEA takes no account of the diminishing marginal utility, but that “*an extra 2 months of good QoL to a life-expectancy of 6 months is potentially more valuable than an extra 2 months to a much better average life-expectancy.*”

And: the willingness to pay for it increases (con). When following this line of reasoning, a QALY gained at the EoL would not be equivalent to a QALY gained earlier in life.⁴¹ Moreover, a rising willingness to pay for time gained at the EoL is assumed.²⁹ This line of reasoning can also be found in empirical studies. Arguedas et al.⁴⁵ state that in their CEA, “*a value closer to \$100,000 per QALY [instead of the regularly cited threshold of \$50,000⁴⁶] might more accurately reflect societal preferences.*” Furlan et al.⁴⁷ argue that their results “*indicate that increased expenditures are needed to impact patients’ QoL for such morbid clinical conditions.*” According to Haycox,⁴¹ society indeed appears to show a willingness to pay for palliative care that lies above the level that would be considered “rational.”

Or doesn’t it? (pro). Hughes⁶ though, objects to this reasoning since, according to him, it is not clear that palliative patients have greater needs than others. So, it cannot be the sole criterion for distribution of resources. He poses it should be combined with some measure of benefit.⁶ Round⁷ agrees that equity issues arise when resource allocation decisions are made based on situations that are no more unique to patients at the EoL than they are at any other life stages. Round⁴³ puts forward that, as patients themselves are willing to spend increased sums on their care at the EoL, it may not be that the value of time to the individual increases but that the value of alternative uses of the individual’s resources decreases.

The Peak End Rule as alternative approach (con). When following the non-linear rationale, periods of time cannot be added up at different points in time. Not even after adjusting for quality, since the value *behind* different time-slices may differ.^{6,12} Normand¹² even states that when adding up benefits for (different) individuals, theorems in welfare economics are violated. This is why it is argued that Kahneman et al.’s⁴⁸ Peak End Rule theory is applicable. The idea that there are circumstances where people put more or less value on time is supported by this theory. It describes that the way people evaluate past experiences tends to be

based on the most intense points (best or worst) and how they end. Authors using Kahnemans’ theory argue that people caught in the gravity of approaching death encounter a profound distortion of how time is experienced and valued.²⁹

But ... in what direction does it change? (pro). Others, however, argue that the assumption that time spent in the terminal phase of life is valued more highly is currently without empirical support.⁷ It is stated that even *if* valuation of time changes throughout life, it is not clear in which direction.⁴³ Furthermore, the valuation of time objection is stated to ignore the option of weighing health gains differently for different populations.⁷

Discussion

We integrated theoretical and empirical literature on arguments concerning the appropriateness of using QALYs to inform decisions on resource allocation among palliative care interventions.²⁰ A total of 13 theoretical and 30 empirical CEAs were included. The theoretical literature encompassed studies from various theoretical bases and perspectives (Table 4 in Appendix 1), which made the juxtaposition of all arguments challenging. Nonetheless, three themes regarding the pros and cons of using the QALY, as well as difficulties concerning its use in research practice (CEAs), were identified: (1) *life years gained*, (2) *QoL measurement and conceptualization*, and (3) *valuation and additivity of time*. Below, we iterate the main arguments theme by theme, critically appraise them, and discuss the QALY’s value for palliative care and potential implications for practice or policy.

Theme 1. Life years gained

In this theme, the main argument against the use of the QALY is that not enough can be gained in its LY component.^{5,18} This allegedly results in disadvantageous cost-effectiveness ratios in palliative care compared to other healthcare fields.⁵ Others object that, since the Q weight significantly influences the QALY outcome,^{6,7} increases in QALYs are possible even if life is not lengthened. Indeed, QALY gains have been reported in empirical studies.^{27,28}

Appraising the above argument, it is clear that—mathematically—improvements in QoL can and will generate QALYs. However, given the short survival, the scope for this (but; also for rises in costs) is clearly limited. Also, higher thresholds for diseases with a high disease burden can be used. Moreover, we want to emphasize that the discussion on the appropriateness of using the QALY in CEAs in palliative care takes place in the narrow context of economic evaluation, where new interventions are compared to a “best alternative,” mostly standard care.⁴⁹ This means that in research practice, comparators are faced with the same context and constraints. Research has even shown

that early palliative care and symptom control not only improve QoL but also, without the use of aggressive medical care, translate into prolonged survival.^{50–52} So, when calculating QALYs in palliative care, there is a fair competition between competing interventions. Nevertheless, when using the QALY for the allocation of financial resources on a macro level, other very relevant questions—such as how much may a QALY cost?⁵³—are in play that deserve thorough exploration.

Theme 2. QOL measurement and conceptualization

A major perceived objection regarding the use of the QALY in palliative care is that it takes into account health-related domains, which are considered less relevant than other dimensions of QoL. Therefore, it is argued that alternative QoL measurement instruments should be developed that embrace a perspective as broad as the notion of QoL itself²⁹ and that the flexibility as offered in the extra-welfarist framework even makes it possible to use other QoL concepts broader than HR-QoL—such as the capability approach.^{14,31,54} This flexibility, however, is seldom used according to some.^{7,18} Moreover, several authors mentioned that standard HR-QoL instruments were used because of the assumption that no valuation instrument exists that incorporates EoLC issues.

However, to *appraise* these arguments, there are several instruments taking into account EoLC values such as peace, emotions, and spiritual and psychosocial well-being (e.g. the ICECAP-SCM—measuring capabilities—the POS, and the FACIT-Pal).^{55,56} Probably, they are hardly used as they are not suggested in CEA guidelines; the EQ-5D is the norm.^{10,11} But in the QALY framework, deviation from this norm is legitimate with solid arguments. Therefore, we recommend researchers in palliative care to, in the first place in addition to the EQ-5D, use these alternative instruments.

Moreover, a strict weighing of HR-QoL leads to unfavorable QALY results for healthcare domains that do not primarily focus on improving HR-QoL (but, for example, on improving autonomy, social well-being, or capabilities). In these domains, standard HR measurement tools are biased estimators as benefits (other than the EQ-5D dimensions) are missed. Therefore, we suggest to move to a broader concept of QoL. The time for doing this is right, since the new concept of health—in which health no longer refers to a state of complete well-being (WHO definition 1948), but to the ability to adapt and self-manage—more or less closes the gap between HR-QoL and QoL.⁵⁷

Theme 3. Valuation and additivity of time

In the third theme, it is argued that time episodes throughout life may be valued differently, and that this should be taken into account when making budget allocation decisions. The

Peak End Rule theory is invoked to back the argument of varying valuations of time. To deal with the increasing valuation of time as death gets closer, the PaLY and VIP are introduced as alternative approaches. Others pose that it is not clear in which direction time preferences act.⁴³

Appraising these arguments, we note that there is no scientific consensus on the idea of the increasing valuation, and thus additivity, of time. However, more voices are heard on the non-linearity and changing valuation of time in proximity to death,^{48,58} while in the normative framework of the QALY, valuation of time is considered linear. Other descriptive models on valuation of time—such as the Peak End Rule,⁴⁸ maximal endurable time,⁵⁹ and lexicographic preferences, for example, the primacy of the “Q” over the “LY” weight—may be alternatives. We suggest further exploring the possibility of integrating valuation of time might be in a non-linear way in the QALY framework, for example, by operationalizing the VIP. If it is not legitimate to add up quality-adjusted time periods, it might be worthwhile to consider “whole experiences” and determine how these are valued.^{48,60}

Strengths and limitations

Our integrative review offers the first systematic overview of pros and cons for using the QALY to inform decisions on resource allocation among palliative care interventions, adding new insights to the broader topical issue of whether everything that *can* be done or *must* be done. In our review, however, we focused on “technical” efficiency, informing allocation decisions among palliative care interventions only. Although this information is of importance, it cannot be used to inform on resource allocation throughout healthcare.⁶¹ Moreover, because of controversy about the definition of “palliative care” in the field, we might have missed studies of importance. Furthermore, since we choose to bundle arguments in *pros* and *cons*, and analyzed them from bottom-up, our presentation might not have captured every link, making the discussion seem more black-and-white than it actually is. For example, the link between themes 1 and 3 (if you agree that time may not be additive, then the problem of short time horizons is less of an issue) was not reflected in the bottom-up analysis. Finally, although important for the QALY discussion across the entire width, the debate around QALY issues on a macro level, preferences in relation to health, and who should value these preferences was put aside.^{53,62,63}

Conclusion

Three themes regarding the appropriateness of using QALYs to inform decisions on resource allocation among palliative care interventions were identified. The debate as identified in theoretical literature is recognized in the empirical literature. However, alternative outcome measures are not used. Despite criticism, concerning theme 1, the limited gain in LY in palliative care, QALYs can be gained, despite the fact that palliative care itself not primarily aims at this weight.

Moreover, in the (narrow) context of economic evaluation, new interventions face the same context and constraints as their competitors, making the limited-scope issue less of an issue. In theme 2, it was argued that standard measurement of the Q weight of the QALY—for example, based on the EQ-5D or measuring functioning (instead of capabilities) at all—does not fit the palliative care context. We recommend making use of the possibility to use additional QoL or capabilities measurement instruments that incorporate important values for palliative care patients. As for theme 3, we suggest exploring whether valuation of time might be integrated into the QALY framework in a non-linear way. In short, the QALY might be more valuable when informing decisions on resource allocation among palliative care interventions, when specific issues related to the above-mentioned themes are taken into account.

Declaration of conflicting interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This study was supported by the EU 7th Framework Programme, grant agreement 6031111.

References

- World Health Organization (WHO). *The right to health*. Factsheet no. 31. Geneva: WHO, 2015.
- National Institutes of Health (NIH). *Improving end-of-life care: consensus and state-of-the-science statements*. Bethesda, MD: NIH, 2004, pp. 1–28.
- Hall S, Petkova H, Tsouros A, et al. *Palliative care for older people: better practices*. Geneva: World Health Organization (WHO), 2011.
- World Health Organization (WHO). *The world health report 2006: working together for health*. Geneva: WHO, 2006.
- Egan TM. QALYs or quackery? The quagmire of quantifying the cost of breathing. *J Thorac Cardiovasc Surg* 2002; 123: 406–408.
- Hughes J. Palliative care and the QALY problem. *Health Care Anal* 2005; 13: 289–301.
- Round J. Is a QALY still a QALY at the end of life? *J Health Econ* 2012; 31: 521–527.
- Yang YT and Mahon MM. Palliative care for the terminally ill in America: the consideration of QALYs, costs, and ethical issues. *Med Health Care Philos* 2012; 15: 411–416.
- Dolan P, Lee H, King D, et al. Valuing health directly. *BMJ* 2009; 339: b2577.
- National Institute for Health and Clinical Excellence (NICE). *Guide to the methods of technology appraisal 2013: process and methods guides*. London: NICE, 2013.
- ZINL. Richtlijn voor het uitvoeren van economische evaluaties in de gezondheidszorg. *Zorginstituut Nederland* 2015. Available at: <https://www.zorginstituutnederland.nl/over-ons/werkwijzen-en-procedures/adviseren-over-en-verduidelijken-van-het-basispakket-aan-zorg/beoordeling-van-geneesmiddelen/richtlijnen-voor-economische-evaluatie>. (accessed 26 January 2017).
- Normand C. Measuring outcomes in palliative care: limitations of QALYs and the road to PaLYs. *J Pain Symptom Manage* 2009; 38: 27–31.
- McNamee P and Seymour J. Incorporation of process preferences within the QALY framework: a study of alternative methods. *Med Decis Making* 2008; 28: 443–452.
- Coast J. Strategies for the economic evaluation of end-of-life care: making a case for the capability approach. *Expert Rev Pharmacoecon Outcomes Res* 2014; 14: 473–482.
- Phillips C and Thompson G. What is QALY? *Health Econ* 2009; 1: 400–405.
- Sculpher MJ, Pang F, Manca A, et al. Generalisability in economic evaluation studies in healthcare: a review and case studies. *Health Technol Assess* 2004; 8: iii–iv, 1–192.
- Murtagh FEM, Groeneveld EI, Kaloki YE, et al. Capturing activity, costs, and outcomes: the challenges to be overcome for successful economic evaluation in palliative care. *Progr Palliat Care* 2013; 21: 232–235.
- Normand C. Setting priorities in and for end-of-life care: challenges in the application of economic evaluation. *Health Econ Policy Law* 2012; 7: 431–439.
- Kinghorn P and Coast J. Do we have the correct health economics methods to evaluate end of life care? An analysis of stakeholder perspectives. *BMJ Support Palliat Care* 2014; 4: A4–A5.
- Whittemore R and Knaff K. The integrative review: updated methodology. *J Adv Nurs* 2005; 52: 546–553.
- Moher D, Liberati A, Tetzlaff J, et al. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *Int J Surg* 2010; 8: 336–341.
- Moher D, Liberati A, Tetzlaff J, et al. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *Ann Intern Med* 2009; 151: 264–269.
- O’Cathain A, Murphy E and Nicholl J. Three techniques for integrating data in mixed methods studies. *BMJ* 2010; 341: c4587.
- Stevenson MD, Macdonald FC, Langley J, et al. The cost-effectiveness of bosentan in the United Kingdom for patients with pulmonary arterial hypertension of WHO functional class III. *Value Health* 2009; 12: 1100–1105.
- Ljungman D, Hyltander A and Lundholm K. Cost-utility estimations of palliative care in patients with pancreatic adenocarcinoma: a retrospective analysis. *World J Surg* 2013; 37: 1883–1891.
- Furlan JC, Chan KK, Sandoval GA, et al. The combined use of surgery and radiotherapy to treat patients with epidural cord compression due to metastatic disease: a cost-utility analysis. *Neuro Oncol* 2012; 14: 631–640.
- Dooms CA, Lievens YN and Vansteenkiste JF. Cost-utility analysis of chemotherapy in symptomatic advanced non small cell lung cancer. *Eur Respir J* 2006; 27: 895–901.
- Phippen N, Leath IC, Miller C, et al. Is a home-based palliative care treatment strategy preferable to standard chemotherapy in recurrent cervical cancer? *Gynecol Oncol* 2013; 131(1): 277–278.
- Chochinov HM. Death, time and the theory of relativity. *J Pain Symptom Manage* 2011; 42: 460–463.

30. Yang YT and Mahon MM. Considerations of quality-adjusted life-year in palliative care for the terminally ill. *J Palliat Med* 2011; 14: 1197.
31. Coast J, Smith RD and Lorgelly P. Welfarism, extra-welfarism and capability: the spread of ideas in health economics. *Soc Sci Med* 2008; 67: 1190–1198.
32. Coast J, Smith R and Lorgelly P. Should the capability approach be applied in health economics? *Health Econ* 2008; 17: 667–670.
33. Sen A. *Inequality reexamined*. New York: Russell Sage Foundation; Oxford: Clarendon Press, 1992.
34. Sen A. Capability and well-being. In: Nussbaum MC and Sen AK (eds) *The quality of life*. Oxford: Clarendon Press, 1993, pp.30–66.
35. Sen A. *The idea of justice*. New York: JSTOR, 2009.
36. Nussbaum M. Capabilities as fundamental entitlements: Sen and social justice. *Fem Econ* 2003; 9: 33–59.
37. Alkire S. Why the capability approach? *J Hum Dev* 2005; 6: 115–135.
38. Van den Hout WB, van der Linden YM, Steenland E, et al. Single- versus multiple-fraction radiotherapy in patients with painful bone metastases: cost-utility analysis based on a randomized trial. *J Natl Cancer Inst* 2003; 95: 222–229.
39. Barton MB, Jacob SA and Gebisky V. Utility-adjusted analysis of the cost of palliative radiotherapy for bone metastases. *Australas Radiol* 2003; 47: 274–278.
40. Pace A, Di Lorenzo C, Capon A, et al. Quality of care and rehospitalization rate in the last stage of disease in brain tumor patients assisted at home: a cost effectiveness study. *J Palliat Med* 2012; 15: 225–227.
41. Haycox A. Optimizing decision making and resource allocation in palliative care. *J Pain Symptom Manage* 2009; 38: 45–53.
42. Cowley C. Justifying terminalcare by “retrospective quality-adjusted life-years.” *J Med Ethics* 2010; 36: 290–292.
43. Round J. Death, time, and the theory of relativity: a brief reply? *J Pain Symptom Manage* 2012; 43: e2–e6.
44. Billingham LJ, Bathers S, Burton A, et al. Patterns, costs and cost-effectiveness of care in a trial of chemotherapy for advanced non-small cell lung cancer. *Lung Cancer* 2002; 37: 219–225.
45. Arguedas MR, Heudebert GH, Stinnett AA, et al. Biliary stents in malignant obstructive jaundice due to pancreatic carcinoma: a cost-effectiveness analysis. *Am J Gastroenterol* 2002; 97: 898–904.
46. Neumann PJ, Cohen JT and Weinstein MC. Updating cost-effectiveness—the curious resilience of the \$50,000-per-QALY threshold. *New Eng J Med* 2014; 371: 796–797.
47. Furlan JC, Chan KK, Sandoval GA, et al. The combined use of surgery and radiotherapy to treat patients with epidural cord compression due to metastatic disease: a cost-utility analysis. *Neuro Oncol* 2012; 14: 631–640.
48. Kahneman D, Diener E and Schwarz N. *Well-being: foundations of hedonic psychology*. New York: Russell Sage Foundation, 1999.
49. Drummond M, Sculpher M, Torrance G, et al. *Methods for the economic evaluation of health care programmes*. New York: Oxford University Press, 2005.
50. Howie L and Peppercorn J. Early palliative care in cancer treatment: rationale, evidence and clinical implications. *Ther Adv Med Oncol* 2013; 5: 318–323.
51. Rowland K, Schumann S-A and Hickner J. Palliative care: earlier is better (Priority Updates to Research Literature (PURLs)) *J Fam Pract* 2010; 59: 695–698.
52. Temel JS, Greer JA, Muzikansky A, et al. Early palliative care for patients with metastatic non-small-cell lung cancer. *New Eng J Med* 2010; 363: 733–742.
53. Claxton K, Martin S, Soares M, et al. Methods for the estimation of the National Institute for Health and Care Excellence cost-effectiveness threshold. *Health Technol Assess* 2015; 19: 1–503, v–vi.
54. Brouwer WB, Culyer AJ, van Exel NJA, et al. Welfarism vs. extra-welfarism. *J Health Econ* 2008; 27: 325–338.
55. Bailey C, Orlando R, Kinghorn P, et al. Measuring the quality of end of life using ICECAP SCM: feasibility and acceptability. *BMJ Support Palliat Care* 2014; 4: 112.
56. Echteld MA. Meetinstrumenten Palliatieve Zorg. *VUmc EMGO-Instituut* 2016. Available at: <https://www.vumc.nl/afdelingen/meetinstr-palliatieve-zorg/> (accessed 27 January 2017).
57. Huber M, Knottnerus JA, Green L, et al. How should we define health? *BMJ* 2011; 343: d4163.
58. Groot MM, Derksen EW, Crul BJ, et al. Living on borrowed time: experiences in palliative care. *Patient Educ Couns* 2007; 65: 381–386.
59. Stalmeier PF, Lamers LM, Busschbach JJ, et al. On the assessment of preferences for health and duration: maximal endurable time and better than dead preferences. *Med Care* 2007; 45: 835–841.
60. Mehrez A and Gafni A. Quality-adjusted life years, utility theory, and healthy-years equivalents. *Med Decis Making* 1989; 9: 142–149.
61. Palmer S and Torgerson DJ. Economics notes: definitions of efficiency. *BMJ* 1999; 318: 1136.
62. Sprangers MA and Schwartz CE. Integrating response shift into health-related quality of life research: a theoretical model. *Soc Sci Med* 1999; 48: 1507–1515.
63. Norman G. Hi! How are you? Response shift, implicit theories and differing epistemologies. *Qual Life Res* 2003; 12: 239–249.
64. Kim H, Rajagopalan MS, Beriwal S, et al. Cost-effectiveness analysis of single fraction of stereotactic body radiation therapy compared with single fraction of external beam radiation therapy for palliation of vertebral bone metastases. *Int J Radiat Oncol Biol Phys* 2015; 91: 556–563.
65. Miller AR, Cantor SB, Peoples GE, et al. Quality of life and cost effectiveness analysis of therapy for locally recurrent rectal cancer. *Dis Colon Rectum* 2000; 43: 1695–1703.
66. Phippen NT, Leath CA 3rd, Miller CR, et al. Are supportive care-based treatment strategies preferable to standard chemotherapy in recurrent cervical cancer? *Gynecol Oncol* 2013; 130: 317–322.
67. Shenfine J, McNamee P, Steen N, et al. A randomized controlled clinical trial of palliative therapies for patients with inoperable esophageal cancer. *Am J Gastroenterol* 2009; 104: 1674–1685.
68. Van den Hout WB, Kramer GW, Noordijk EM, et al. Cost-utility analysis of short- versus long-course palliative radiotherapy in patients with non-small-cell lung cancer. *J Natl Cancer Inst* 2006; 98: 1786–1794.
69. Lowery W, Lowery A, Barnett J, et al. Cost effectiveness of early palliative care intervention in recurrent platinum resistant ovarian cancer. *Gynecol Oncol* 2013; 131(1): 283–284.
70. Chochinov HM. Relatively speaking. *J Pain Symptom Manage* 2012; 43: e6–7.

71. Coy P, Schaafsma J, Schofield JA. The cost-effectiveness and cost-utility of high-dose palliative radiotherapy for advanced non-small-cell lung cancer. *Int J Radiat Oncol Biol Phys* 2000; 48: 1025–1033.
72. Goldfeld KS, Hamel MB, Mitchell SL. The cost-effectiveness of the decision to hospitalize nursing home residents with advanced dementia. *J Pain Symptom Manage* 2013; 46: 640–651.
73. Jeurnink SM, Polinder S, Steyerberg EW et al. Cost comparison of gastrojejunostomy versus duodenal stent placement for malignant gastric outlet obstruction. *J Gastroenterol* 2010; 45: 537–543.
74. Johnson MJ, Kanaan M, Richardson G et al. A randomised controlled trial of three or one breathing technique training sessions for breathlessness in people with malignant lung disease. *BMC Med* 2015; 13: 213.
75. Konski A. Radiotherapy is a cost-effective palliative treatment for patients with bone metastasis from prostate cancer. *Int J Radiat Oncol Biol Phys* 2004; 60: 1373–1378.
76. Konski A, James J, Hartsell W et al. Economic analysis of radiation therapy oncology group 97-14: multiple versus single fraction radiation treatment of patients with bone metastases. *Am J Clin Oncol* 2009; 32: 423–428.
77. Lowery WJ, Lowery AW, Barnett JC et al. Cost-effectiveness of early palliative care intervention in recurrent platinum-resistant ovarian cancer. *Gynecol Oncol* 2013; 130: 426–30.
78. Olden AM, Holloway R. Treatment of malignant pleural effusion: PleuRx catheter or talc pleurodesis? A cost-effectiveness analysis. *J Palliat Med* 2010; 13: 59–65.
79. Roberts KJ, Sutton AJ, Prasad KR et al. Cost-utility analysis of operative versus non-operative treatment for colorectal liver metastases. *Br J Surg* 2015; 102: 388–398.
80. Roth JA, Carlson JJ. Cost-effectiveness of gemcitabine + cisplatin vs. gemcitabine monotherapy in advanced biliary tract cancer. *J Gastrointest Cancer* 2012; 43: 215–223.
81. Sahlen KG, Boman K, Brånström M. A cost-effectiveness study of person-centered integrated heart failure and palliative home care: Based on a randomized controlled trial. *Palliat Med* 2016; 30: 296–302.
82. Shafiq M, Frick KD, Lee H et al. Management of Malignant Pleural Effusion: A Cost-Utility Analysis. *J Bronchology Interv Pulmonol* 2015; 22: 215–225.
83. Teerawattananon Y, Mugford M, Tangcharoensathien V. Economic evaluation of palliative management versus peritoneal dialysis and hemodialysis for end-stage renal disease: evidence for coverage decisions in Thailand. *Value Health* 2007; 10: 61–72.
84. Xinopoulos D, Dimitroulopoulos D, Moschandra I et al. Natural course of inoperable esophageal cancer treated with metallic expandable stents: quality of life and cost-effectiveness analysis. *J Gastroenterol Hepatol* 2004; 19: 1397–1402.

Appendix I

Table 4. Characteristics of non-empirical “theoretical” papers.

Author(s)	Title	Journal	Type of article
Egan ⁵	QALYs or quackery? The quagmire of quantifying the cost of breathing	<i>Journal of Thoracic and Cardiovascular Surgery</i>	Editorial
Hughes ⁶	Palliative care and the QALY problem	<i>Health Care Analysis: Journal of Health Philosophy and Policy</i>	Journal article
Normand ¹²	Measuring outcomes in palliative care: limitations of QALYs and the road to PaLYs	<i>Journal of Pain & Symptom Management</i>	Special article
Haycox ⁴¹	Optimizing decision making and resource allocation in palliative care	<i>Journal of Pain & Symptom Management</i>	Special article
Cowley ⁴²	Justifying terminal care by “retrospective quality-adjusted life-years”	<i>Journal of Medical Ethics</i>	Ethics
Chochinov ²⁹	Death, time and the theory of relativity	<i>Journal of Pain & Symptom Management</i>	Special article
Chochinov ⁷⁰	Relatively speaking. To the editor.	<i>Journal of Pain & Symptom Management</i>	
Yang and Mahon ³⁰	Considerations of quality-adjusted life-year in palliative care for the terminally ill	<i>Journal of Palliative Medicine</i>	Editorial letter
Normand ¹²	Setting priorities in and for end-of-life care: challenges in the application of economic evaluation	<i>Health Economics, Policy and Law</i>	Journal article
Round ⁷	Is a QALY still a QALY at the end of life?	<i>Journal of Health Economics</i>	Journal article
Round ⁴³	Death, time, and the theory of relativity: a brief reply?	<i>Journal of Pain & Symptom Management</i>	Editor letter
Yang and Mahon ⁸	Palliative care for the terminally ill in America: the consideration of QALYs, costs, and ethical issues	<i>Medicine, Health Care and Philosophy</i>	Scientific contribution
Coast ¹⁴	Strategies for the economic evaluation of end-of-life care: making a case for the capability approach	<i>Expert Review of Pharmacoeconomics & Outcomes Research</i>	Perspective

QALY: quality-adjusted life year; PaLY: Palliative Care Yardstick.

Table 5. Characteristics of empirical (CEAs) papers.

Author(s)	Research question	Patient characteristics	QoL assessment (for utilities)	QALY	Alternative measures	Outcomes
Arguedas et al. ⁴⁵	Cost-effectiveness of initial plastic biliary stenting versus initial metallic stent placement for palliation	Patients with unresectable pancreatic carcinoma	Health state utilities by SG	Yes	Via quality-adjusted life months	Plastic stents US \$92,578/QALY versus metal stents US \$88,205/QALY
Barton et al. ³⁹	Cost-utility of palliative RT in bone metastases.	Patients with bone metastases in advanced cancer	Utilities on pain relief.	Yes	Adjusted to utility adjusted survival	AUD 1200/utility-adjusted life year
Billingham et al. ⁴⁴	Cost-effectiveness of MIC chemotherapy and/or palliative care	Patients with advanced NSCLC	EORTC QLQ-LC13	No	Incremental cost gain of a single full year of survival	Palliative care ICER of £14,620 (95% CI: £1168–£21 612)/life year gained
Coy et al. (2000) ⁷¹	Cost-effectiveness of high-dose palliative RT treatment versus BSC	Patients with advanced NSCLC	Question 30 QLQ-C30 questionnaire ("rate your global QoL on an integer scale of 1–7").	Yes	Via QALD	Estimated in-clinical and societal costs palliative RT 12,836 CAD and 17,012 CAD/QALY, respectively
Dooms et al. ²⁷	CU single-agent gemcitabine versus second-generation cisplatin-based chemotherapy	Patients with advanced NSCLC	"How would you rate the quality of your life today?" question from LCSS, measured on a VAS	Yes		Single-agent gemcitabine cost–utility ratio of €13,836/QALY
Furlan et al. ²⁶	Cost-utility of combined use of surgery and RT	Metastatic cancer patients with epidural spinal cord compression	Utilities from Harvard University Catalogue and Health Outcomes Data Repository Data–Health Utility list	Yes		ICER of US\$250,307/QALY
Goldfield et al. (2013) ⁷²	Cost-effectiveness of not having a DNH order and hospitalization of suspected pneumonia	Nursing home residents with advanced dementia	The EOLD-SM and CAD-EOLD to reach utilities via the Health Utility Index Mark 2	Yes	Via QALD	Estimated ICER no DNH order US \$589,130/QALY; pneumonia hospitalization incremental increase of US \$3697 and an incremental reduction in quality-adjusted survival of 9.7 QALD
Jeurmink et al. (2010) ⁷³	Cost-effectiveness of GJJ versus stent placement as palliative treatments	Malignant GOO	EORT QLQ-C30, EQ-5D, the EQ-VAS, and the EORTC QLQ-PAN26; pain and nausea scores by self-developed questionnaires	No	Only ICER calculated	Total costs per patient GJJ versus stent placement: €12,433 versus €8819; ICER GJJ versus stent placement: €164 per extra day
Johnson et al. (2015) ⁷⁴	Cost-effectiveness of three breathing training sessions versus one	Patients with intra-thoracic malignancy	EQ-5D	Yes		Probability cost-effectiveness single session at a threshold value of £20,000/QALY >80%
Kim et al. ⁶⁴	Cost-effectiveness of single-fraction SBRT versus single-fraction EBRT in palliative treatment	Patients with back pain due to vertebral bone metastases	Utilities on pain relief	Yes		SBRT ICER of US \$124,552/QALY
Konski (2004) ⁷⁵	Cost-effectiveness of pain medication only, chemotherapy, and single- and multi-fraction RT as palliative treatments	Patients with hormone-refractory prostate cancer with bone metastases	EQ-5D	Yes	Via quality-adjusted life months	Single-fraction RT US \$6857/QALY, multi-fraction RT US \$36,000/QALY. Chemotherapy dominated by pain medication

Table 5. (Continued)

Author(s)	Research question	Patient characteristics	QoL assessment (for utilities)	QALY	Alternative measures	Outcomes
Konski et al. (2019) ⁷⁶	Cost-effectiveness multiple-fraction treatment by preventing further retreatment	Patients with bone metastases	Utilities on pain relief	Yes		ICER of US \$6973/QALY
Ljungman et al. ²⁵	Cost-utility estimations of palliative care in patients with pancreatic adenocarcinoma	Patients with unresectable pancreatic adenocarcinoma tumors who experienced palliative care	SF-6D derived from SF-36 items	Yes		Palliative care: €118,418/QALY; surgical resection: €106,146/QALY
Lowery et al. (2013) ⁷⁷	Cost-effectiveness of EPC	Patients with recurrent, platinum-resistant ovarian cancer	HR-QoL (VAS and TTO) of health state valuation	Yes		ICER <US \$50,000/QALY, assuming no clinical benefit other than QoL, ICER: US \$37,440/QALY
Miller et al. ⁶⁵	Cost-effectiveness of therapeutic options surgical resection, diagnostic or palliative surgery, non-operatively treated	Patients with locally recurrent rectal carcinoma	Utilities obtained from convenience samples by SG	Yes		Surgical resection versus non-operative management US \$109,777/QALY. Reduced to US \$56,698/QALY using mean patient utilities
Olden et al. (2010) ⁷⁸	Cost-effectiveness of treating MPEs with talc pleurodesis versus placement of Pleurx catheter	Patients with recurrent MPE with any type of cancer	Utilities obtained from literature	Yes		Talc US \$29,077/QALY; Pleurx: US \$32,650/QALY Pleurx is more cost-effective (<US \$100K = QALY) when life expectancy is 6 weeks or less
Pace et al. ⁴⁰	Cost-effectiveness of palliative homecare versus no homecare assistance at the EoL	Last-stage BT patients	Utilities from convenience samples using SG	No	Only hospital readmissions and costs. (not integrated in outcome measure, red.)	Hospitalization in homecare group lower (16.7%) than in non-homecare group (38%). Costs of hospitalization differed substantially (€517 vs €24,076 relatively).
Phippen ⁶⁶	Cost-effectiveness of four management strategies (AO supportive care intervention through palliative care)	Recurrent cervix cancer patients	Administrative data on rehospitalization rate in the last 2 months of life	Yes		Extra ICER standard doublet chemotherapy versus selective chemotherapy of US \$276,000/QALY. Selective chemotherapy more cost-effective than single-agent chemotherapy with home hospice with ICER of US \$78,000/QALY
Roberts et al. (2015) ⁷⁹	Cost-effectiveness of surgery compared with non-operative treatment for patients with CRLMs	Patients with colorectal liver metastases	Parameterized model with QoL data from secondary data sources	Yes		Operative strategy and optimal strategy across all willingness-to-pay values for a QALY
Roth et al. (2012) ⁸⁰	Cost-effectiveness of the addition of cisplatin to gemcitabine	Patients with advanced biliary tract cancer	EQ-5D	Yes		Relative to gemcitabine monotherapy, gemcitabine + cisplatin ICER of US \$59,480/QALY
Sahlen et al. (2016) ⁸¹	Cost-effectiveness of PREFER intervention versus standard care	Patients with chronic and severe heart failure	EQ-5D	Yes		PREFER group: +0.006 QALYs; standard care group: -0.024 QALYs.

(Continued)

Table 5. (Continued)

Author(s)	Research question	Patient characteristics	QoL assessment (for utilities)	QALY	Alternative measures	Outcomes
Shafiq et al. (2015) ⁸²	Cost-utility of five therapeutic alternatives for MPE	Patients with MPE from any cancer type	EQ-5D			ICER of rapid pleurodesis protocol over repeated thoracentesis at a permanent success estimate of 85% 65.091/QALY.
Shenfine et al. ⁶⁷	Cost-effectiveness of SEMs versus rigid, plastic stents and non-stent therapies	Patients with inoperable esophageal cancer	EQ-5D	Yes	No numbers for extra QALY mentioned, only total costs and QALY differences	Difference in total costs and QALYs bootstrapped: non-SEMS treatment greater QALYs than SEMS, costs equivalent between groups; SEMS unlikely to be more cost-effective than non-SEMS
Stevenson et al. ²⁴	Cost-effectiveness bosentan or no active intervention, in addition to palliative care, as first-line treatment	Patients with idiopathic pulmonary arterial hypertension	SF-36	Yes		Bosentan compared with palliative care alone £30,000/QALY
Teerawattananon et al. (2007) ⁸³	To assess the value for money of providing PD or HD versus palliative care	End-stage renal disease patients	Study literature employing utility measurements, for example, HUJ and EQ-5D	Yes		ICER initial treatment with PD and ICER initial treatment HD: 672,000 and 806,000 Baht/QALY gained (52,000 and 63,000 US\$/QALY) compared with palliative care
Van den Hout et al. ³⁸	Which RT (single or multiple) schedule provides better value for money?	Poor prognosis NSCLC	EQ-5D	Yes	Quality-adjusted weeks. No numbers for extra QALYs mentioned	Estimated QALYs and societal costs both favored the single-fraction schedule, providing an additional 1.7 quality-adjusted weeks and saving US \$ 1753 relative to the multiple-fraction schedule
Van den Hout et al. ⁶⁸	Which RT schedule (10 fractions of 3 Gy vs 2 fractions of 8 Gy) provides better value for the money?	Patients with painful bone metastases	EQ-5D	Yes		10 × 3-Gy schedule versus 2 × 8-Gy schedule was estimated at US \$40 900/QALY
Xinopoulos et al. (2004) ⁸⁴	Cost-effectiveness analysis comparing esophageal stenting with laser therapy	Patients with primary esophageal carcinoma	QLQ-C30	No	Overall costs, changes QLQ-C30	Mean survival and costs similar. Small difference of €156 noted (€3103 and €2947 for each group, respectively).

QALY: quality-adjusted life year; QALD: quality-adjusted life days; MIC: mitomycin, ifosfamide, cisplatin; NSCLC: non-small-cell lung cancer; SG: standard gamble; HR-QoL: health-related quality of life; VAS: visual analogue scale; TTO: time trade-off; HUJ: health utility index; EORTC: European Organisation for Research and Treatment of Cancer; ICER: incremental cost-effectiveness ratio; DNH: do-not-hospitalize; GJ: gastrojejunostomy; GOO: gastric outlet obstruction; SBRT: stereotactic body radiation therapy; EBRT: external beam radiation therapy; RT: radiotherapy; SF-36: 36-Item Short Form Health Survey; MPE: malignant pleural effusion; BT: brain tumor; PREFER: Palliative advanced home care and heart FailureE care; BSC: best supportive care; EPC: early palliative care; EoL: end of life; SEMS: self-expandable metal stents; PD: peritoneal dialysis; HD: hemodialysis; EQ-5D: EuroQol Five-Dimensional Questionnaire; EOLD-SM: End-of-life in Dementia Scale - Symptom Management; CAD-EOLD: End-of-life in Dementia Scale - Comfort Assessment in Dying; EORTC QLQ-C30: European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire Cancer; EORTC QLQ-PAN26: European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire Pancreatic Cancer; LCSS: Lung Cancer Symptom Score.

Table 6. Main pros and cons of using the QALY in palliative care and suggested alternatives/approaches from theoretical literature mapped thematically.

	Cons	Pros	Alternatives
Theme 1	<p>Objective palliative care is to improve QoL, not (necessarily) life expectancy.^{5,18}</p> <p>QALYs implicit assumption that interventions must increase life expectancy flawed.⁵</p> <p>Because of low life expectancy, in palliative care effects enjoyed over short time, life-saving therapy will result in higher QALY gains.^{5,18}</p> <p>Even when costs are modest, palliative interventions cannot prove themselves cost-effective as no enough time for them to generate QALYs.¹⁸</p> <p>Developing more accurate QoL instruments (link theme 2, red.) would not solve QALY problem; limiting factor short life expectancy.⁶</p>	<p>Fact that “we are all dead in the long run” (Keynes), does not make the QALY inapplicable across the board.⁶</p> <p>Our QoL matters to us while we are alive, and this is what the QALY seeks to capture, too.⁶</p> <p>QALY enables comparisons between competing demands by combining both quality and quantity of life in a single metric.⁷</p> <p>Increases in QALYs are possible; even if one of the weighing factors does not change significantly (i.e. if life cannot be lengthened), improvements can be made in the other.⁷</p> <p>Other non-life prolonging interventions, only increasing QoL (or limiting its potential loss, red.) can be measured in QALYs (e.g. hip operations).^{6,8}</p>	
Theme 2	<p>Analysis of outcomes needs to embrace complex and multidimensional objectives of palliative care, as broad as notion of QoL itself.^{12,29}</p> <p>Limitations and standard outcome measures (like the EQ-5D) make comparisons inappropriate.¹²</p> <p>Even if refinement analytical tools lead to increased assessment QoL, limiting factor still shortens life expectancy.⁶</p> <p>Resources tend to be biased away from services received at the EoL because they are hard to evaluate.^{12,18}</p> <p>Therapeutic nihilism undermines ability to see value beyond cure-oriented disease modification.²⁹</p> <p>Interventions could be assessed based on their impact on what a person is able to do or be (capabilities) and not solely on functioning.¹⁴</p> <p>Dimensions of palliative care that are not considered when calculating QALYs can be added when using the PaLY.¹²</p> <p>Assumption that there is a mathematical continuum between death and excellent health is a fundamental problem.⁵</p> <p>Bad death can destroy much of value of total life,⁶ allowing a value to be put on components of good death.^{6,12,29,41}</p> <p>Assumption that there is a mathematical continuum between death and excellent health is a fundamental problem.⁵</p>	<p>Palliative care can be optimally integrated into the calculation of the QALY.^{8,30}</p> <p>QALYs’ ability to rate changes in morbidity and mortality in a single measure and to enable comparison between competing demands for resources are as applicable in this population as in any other.⁷</p> <p>Scoring badly on measure of outcome is not a good reason to reject that measure.⁶</p> <p>If aspects are missed or if there is a lack of precision in QALY analysis, this is a shortcoming of ways of measuring rather than failing of QALY approach.^{6,7}</p> <p>In the capability approach, in which capabilities are taken into account instead of functioning, QoL is measured in a richer evaluative space.¹⁴</p> <p>Instruments could be developed that take account of the domains of relevance to a certain population.⁷</p> <p>Non-HR domains can be considered in the QALY, but to date, they are not. Fact that researchers have not taken advantage of the flexibility (as offered in extra welfarism) is not a criticism of the framework itself.⁷</p> <p>Terminal care can be justified in QALY terms when refinement of definition of “quality” and “life.”⁴²</p> <p>Living with heterogeneity in evidence used for policy choices is less serious than fitting all evaluation activity into systematically flawed frameworks.¹⁸</p> <p>If EoL patients are treated inequitably, an equity weight could be derived and applied as required.⁷</p>	<p>Narrative theory</p> <p>Capability approach</p>
Theme 3	<p>Valuation of time not fixed; it increases as time itself is running out.^{29,30}</p> <p>A value can be put on components of a “good death,” which is separate from the days that led up to it (PaLY).¹²</p>	<p>Relative simplicity: time for any individual at any point in time has a constant value, which has useful properties (such as being additive).¹²</p> <p>Value of time changes throughout life, but not clear in which direction variable preference acts.⁴³</p>	<p>Peak End Rule</p> <p>PaLY</p>

(Continued)

Table 6. (Continued)

Cons	Pros	Alternatives
<p>Since valuation of time is not fixed, QALYs' feature of additivity is problematic.^{5,12}</p> <p>Periods of time cannot be added up at different points in time for individuals.^{12,18}</p> <p>A QALY gained at the EoL is not equivalent to a QALY gained earlier in life.⁴¹</p> <p>Way in which life ends impacts overall value of that life.⁴²</p> <p>Benefit EoLC is an addition of value to life as whole, independent of any particular time-slice, which is not captured by QALY.⁶</p> <p>As time itself is running out, willingness to pay for it appears to increase.²⁹</p>	<p>Valuing time spent in terminal phase is more high than time during other stages without empirical support.^{7,43}</p> <p>Assumption valuation of time should be determined by patients, while accepted practice that values placed on health states are determined by general population.⁴³</p> <p>It is not clear that palliative patients have greater needs than others.⁶</p> <p>Objection valuation of time ignores option of weighing health gains differently for different populations.⁷</p> <p>The need-principle cannot be the sole criterion for distribution of resources. It should be combined with some measure of benefit.⁶</p> <p>Equity issues arise when resource allocation decisions are made based on situations no more unique to patients at the EoL than they are at any other life stage.⁷</p> <p>Economic principles suggest that value of time to individuals does not increase, but that value of alternative uses of individual resources decreases.⁴³</p>	VIP

QoL: quality of life; EoL: end of life; QALY: quality-adjusted life year; VIP: Valuation Index Palliative Care; PaLY: Palliative Care Yardstick.

Table 7. Main pros and cons of using the QALY in palliative care and suggested alternatives/approaches from CEAs mapped thematically.

	Cons	Pros	Alternatives
Theme 1	<p>Any survival advantage has a marked effect on the cost-effectiveness, which reflects the frequent issue that it can be more cost-effective to let patients die rather than to use relatively costly treatments.²⁴</p> <p>... the results also highlight that palliative care interventions are likely to generate high ICERs. This is because patients have short remaining life spans over which to benefit from any treatment.⁴⁷</p> <p>If median survival \geq 18 months, SBRT costs \$50,000/QALY or less, which is commonly cited as a benchmark of a 'good buy' for medical interventions [...] most economically feasible approach would involve the judicious use of SBRT for spine metastases in patients with relatively long predicted survival.⁶⁴</p> <p>These findings illustrate again that survival is by far the most important factor to target when striving to improve cost-effectiveness in cancer treatment of pancreatic carcinoma.²⁵</p> <p>If patients survived longer than 6 months, we would expect greater cost savings from the intervention.⁶⁹</p> <p>The present findings illustrate that prolonged survival is a key factor to increasing cost-effectiveness, although it becomes necessary to calculate cost-utility over limited periods when it is to enable comparisons among severely ill patients.²⁵</p>	<p>The results of this analysis are sensitive to changes in costs, but even more so to changes in utilities.²⁷</p> <p>Phippen⁶⁶ palliative therapies began to gain very high QALY values with only modest decreases in QoL.</p> <p>With only modest decreases in QoL, both selective chemotherapy and single-agent chemotherapy with home hospice strategies began to exceed ICERs of \$100,000/QALY. This finding suggests that any survival advantage gained in the chemotherapy-containing treatment arms may be blunted by the associated treatment toxicities, quickly making them cost-prohibitive.⁶⁶</p> <p>Survival after palliative therapy is an area that demands further research and may become a more central issue in palliation when treatments are combined.⁶⁷</p>	

(Continued)

Table 7. (Continued)

	Cons	Pros	Alternatives
Theme 2	<p>Besides these general attributes, there are other issues that are also specifically relevant in the valuation of EoLC. For example, psychosocial outcomes such as relieving the burden of care and strengthening relationships with loved ones are not included in the EQ-5D. Unfortunately, however, no valuation instrument exists that incorporates these specific end-of-life issues.^{38,68}</p> <p>QoL is an important dimension, particularly in the palliation of terminal illness. Unfortunately, information about the QoL weight (utilities) in patients with unresectable pancreatic cancer is limited.⁴⁵</p> <p>Duration of survival is not a meaningful endpoint in palliative care [...] Chronic pain has enormous effect on QoL of patients with bone metastases. Hence, the duration of survival, adjusted for the degree of response to pain treatment, is a more appropriate endpoint.³⁹</p> <p>No standardized method available for utility collection. [...] May be possible QoL item may not cover all different domains QoL.²⁷</p> <p>We observed a high incidence of distressing symptoms that may influence the QoL during the course of disease and the process of dying.⁴⁰</p> <p>The main goals of palliative care and EoLC in brain tumor patients are to offer adequate symptom control, relief of suffering, avoiding inappropriate prolongation of dying, and to support psychological and spiritual needs of patients and families. The lack of control of symptoms in patients not included in palliative home-care programs often lead to rehospitalization with an increase in health system economic cost and worsening of patient QoL.⁴⁰</p>	<p>With only modest decreases in QoL, both selective chemotherapy and single-agent chemotherapy with home hospice strategies began to exceed ICERs of \$100,000/QALY. This finding suggests that any survival advantage gained in the chemotherapy-containing treatment arms may be blunted by the associated treatment toxicities, quickly making them cost-prohibitive.⁶⁶</p> <p>The results of this analysis are sensitive to changes in costs, but even more so to changes in utilities.²⁷</p>	<p>Rehospitalization as indicator for QoL</p> <p>Utilities on pain, since pain is the single most important factor affecting QoL</p>
Theme 3	<p>Patients were more willing to gamble the risks associated with surgery and the possibility of developing pain or complications to have an opportunity to prolong life than were healthcare providers.⁶⁵</p> <p>Study takes no account of diminishing marginal utility (extra 2 months of good QoL to life-expectancy of 6 months potentially more valuable than extra 2 months to much better average life- expectancy).⁴⁴</p> <p>A recent meta-analysis [...] suggests that a value closer to \$100,000/QALY might more accurately reflect societal preferences.⁴⁵</p> <p>A value closer to \$100,000 per QALY might more accurately reflect societal preferences.⁴⁵</p> <p>Our results indicate that increased expenditures are needed to impact patients' QoL for such morbid clinical conditions.⁴⁷</p> <p>Patients at the EoL tend to have low QALYs because of very poor health status [...] this raises the question of whether economic evaluations [...] ought to use some adjustment that would give additional weight to gains to health occurring at the EoL.⁴⁷</p>		

QALY: quality-adjusted life year; QoL: quality of life; EoL: end of life; EoLC: end-of-life care; ICER: incremental cost-effectiveness ratio; PaLY: Palliative Care Yardstick; EQ-5D: EuroQol Five-Dimensional Questionnaire.